

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	4323811
<b>Application Number:</b>	09779114
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	5695
<b>Title of Invention:</b>	SYSTEM AND METHOD FOR EXECUTION OF TRADES MADE PURSUANT TO STOCK OPTION AND PURCHASE PLANS
<b>First Named Inventor/Applicant Name:</b>	Lawrence P. Shields
<b>Customer Number:</b>	21890
<b>Filer:</b>	Todd Andrew Gerety
<b>Filer Authorized By:</b>	
<b>Attorney Docket Number:</b>	74622-040
<b>Receipt Date:</b>	20-NOV-2008
<b>Filing Date:</b>	08-FEB-2001
<b>Time Stamp:</b>	13:33:54
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$1990
RAM confirmation Number	7943
Deposit Account	162500
Authorized User	

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### File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/Message Digest	Multi Part /.zip	Pages (if appl.)
1		74622-040.pdf	371788 efa8df9cd6bd3bd99f097624883a223446cf e899	yes	6

#### Multipart Description/PDF files in .zip description

	Document Description	Start	End
	Miscellaneous Incoming Letter	1	1
	Issue Fee Payment (PTO-85B)	2	2
	Information Disclosure Statement Letter	3	6

### Warnings:

### Information:

2	Fee Worksheet (PTO-06)	fee-info.pdf	33835 0b2b58ea7a2f08ffca21e0888f06b451b6f1d for	no	2
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### Warnings:

### Information:

Total Files Size (in bytes):	405623
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### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.